Reference points under the hypothesis of a sex-specific life-history

Tetsuya Akita[§] and Hirotaka Ijima[‡] E-mail:akitatetsuya1981@affrc.go.jp



National Research Institute of Far Seas Fisheries, Fisheries Research and Education Agency, Yokohama, Kanagawa, Japan.

National Research Institute of Far Seas Fisheries, Fisheries Research and Education Agency Shimizu, Shizuoka, Japan.

¹This working paper was submitted to the ISC Albacore Working Group Intercessional Workshop, 08-15 November 2016, held at the Pacific Biological Station, 3190 Hammond Bay Road, Nanaimo, British Columbia, Canada, Document not to be cited without author's permission.

Summary

The detailed description of several reference points under a sex-specific model was proposed and were applied to North Pacific albacore based on the stock assessment in 2014. Commonly confused settings of reference points which come from sex-specific properties were pointed out. providing a material for further discussion towards the next stock assessment in 2017. R source code that was used for this document was attached.

1 Introduction

In the current stock assessment of North Pacific albacore (*Thunnus alalunga*) (NPALB), a two-sex growth model was used in order to better fit the size data showing a different size distribution between sexes (ISC 2014). Following a conventional assessment, a series of reference points (RPs) that indicates the state of biomass and fishery intensity was provided, but the definitions of RPs under a two-sex growth model has not been discussed yet. Although the software Stock Synthesis 3 (SS3) (Methot and Wetzel 2013), that is used for the stock assessment, can handle these RPs, the detailed process is not clear for the great majority of users.

Recently, introduction of management strategy evaluation (MSE) framework into the assessment of NPALB has been well discussed for several reasons (NC11 2015), resulting in that RPs, as well as operational objectives and decision rules, would play a central role than before. Thus, among scientists responsible for the assessment, understanding as to how sex-specific assumptions affect the RPs becomes important.

In this document, we firstly provide a definition of the RPs under a sex-specific model. Here, we adopted a more simple approach to calculate RPs for keeping the tractability. Then, we apply them to NPALB based on the stock assessment in 2014. Finally, we discuss the interpretation of RPs and provide some caveats related to sex-specific assumptions.

2 Method

Table 1 summarizes all symbols used in this document.

2.1 Overview

Under an age-structured model, the definition of RPs based on fishing mortality (i.e., F-based RPs) is a bit complex and may not be shared among fishery scientists. Thus, first we provide a way of calculating them as a generic form. Then, sex-specific issues involved to RPs are descrived.

Fundamental assumption of F-based RPs is that selectivity of fishing gear is identical to that of target year(s) which are selected arbitrarily (usually current year(s) and/or reference year(s) are used). The value of a ratio of $F_{\text{target},a}$ to F_a that satisfies desired property, such as MSY, is usually referred to as RPs. It should be noted that, depending on regional fisheries management organizations, the ratio is inversely defined. For explanation, suppose that $F_{\text{curent}}/F_{\text{MSY}} = 0.5$. This states: "as long as the current selectivity holds, doubling the intensity of fishery leads to F_{MSY} ." Here, we call the multiplied value (i.e., "two" in this example) F-multiplier, that is denoted by a scalar f. In practice, given target year(s), the ratio can be numerically obtained by changing f. This way of calculation allows a variable intensity of fishing but requires a fixed-selectivity, leading to some problems in a sex-specific model, as explained later. Figure 1 demonstrates these points by taking NPALB as a example. Detailed description of RPs under standard models is well documented in Caddy and Mahon (1995) and Quinn and Deriso (1999), but their relevance to a sex-specific model is little documented.

2.1.1 Fishing mortality at age, F_a

Instantaneous fishing mortality rate at age (denoted by $F_{a,year}$) is not supported by the current version of SS3, so we obtained $F_{a,year}$ from catch at age ($C_{a,year}$), number at age ($N_{a,year}$) and natural mortality at age (N_{a}) by numerically solving the Baranov catch equation:

$$C_{a,year} = \frac{F_{a,year}}{F_{a,year} + M_a} \exp[-F_{a,year} - M_a] N_{a,year}. \tag{1}$$

For the purpose of simplicity, quarter-based catch are aggregated into year-based, such as $C_{a,year,q=1} + C_{a,year,q=2} + C_{a,year,q=3} + C_{a,year,q=4}$ in the case that the spawning season is assumed in beginning of quarter 1.

If the reference term is multi-year, $F_{\text{target},a}$ was obtained by averaging $F_{a,year}$ among years, such as $F_{2010-2012}$. Here, arithmetical average is used,

$$F_{\text{target},a} = \frac{1}{\text{Number of target years}} \sum_{year = \text{StartYear}}^{\text{EndYear}} F_{a,year}. \tag{2}$$

2.1.2 Weight at age, W_a

For simplicity, two assumptions are made about the weight at age (denoted by W_a). First, we consider the quarter when the spawning occurs as a representative term for calculating RPs, although the weigh of fish must vary by quarters and fisheries are operated primarily during several quarters. Second, increasing of weight as age is assumed to be stopped at a max age and the weight is considered as that of a plus-group. The latter may be justified that there are little fishes with extreme old age.

2.1.3 Sex-specific model

So far, we ignore sex-specific issues, although SS3 can handle sex-specific properties including sex ratio (ratio of $N_{\mathcal{O}_a=0}$ to $N_{\mathcal{Q}_{\mathcal{O}_a=0}}$) and produce separate estimations by sex (e.g., catch, number. weight). This brings distinctive fishing mortality by sex, as shown in Fig. 1. In this case, definition of several variables may be changed, thus we carefully check the treatment of sex-specific properties. For example, in NPALB, spawning-stock-biomass (SSB) is composed by female individuals. therefore the stock-recruitment relationship ignores male biomass. This setting would affect the definition of RPs. We should recognize these treatments especially in applying to MSE (or harvest control rule) processes.

2.2 **Definition of reference points**

Table 2 summarizes all RPs noted in this document. R-code that calculates RPs is in Appendix.

2.2.1 YPR (Yield-Per-Recruit) criteria, $F_{0,1}$

YPR analysis has been used for maximizing the yield from recruits by avoiding growth overfishing, but does not take into account the effect of fishing mortality on the reproductive potential due to lack of information of maturity and thus SSB. This keeps the relatively small modification of the definition of YPR under a sex-specific model; YPR contains the information of both female and male weighted by sex ratio (recruitment does not distinguish sexes), defined by

$$YPR_{\text{target}}(f) = (1 - r) \sum_{a=0}^{a_{\text{max}}} \left[\mu_{Q,a}(f) \times \lambda_{Q,a}(f) \times W_{Q,a} \right] + r \sum_{a=0}^{a_{\text{max}}} \left[\mu_{Q',a}(f) \times \lambda_{Q',a}(f) \times W_{Q',a} \right]. \tag{3}$$

where

$$\mu_{\mathcal{Q},a}(f) = \frac{fF_{\text{target},\mathcal{Q},a}}{fF_{\text{target},\mathcal{Q},a} + M_{\mathcal{Q},a}} (1 - \exp[-fF_{\text{target},\mathcal{Q},a} - M_{\mathcal{Q},a}]), \tag{4}$$

$$\lambda_{\mathcal{Q},a}(f) = \prod_{a'=0}^{a-1} \exp[-fF_{\text{target},\mathcal{Q},a'} - M_{\mathcal{Q},a'}],$$

$$\mu_{\mathcal{O},a}(f) = \frac{fF_{\text{target},\mathcal{O},a}}{fF_{\text{target},\mathcal{O},a} + M_{\mathcal{O},a}} (1 - \exp[-fF_{\text{target},\mathcal{O},a} - M_{\mathcal{O},a}]).$$
(6)

$$\mu_{\vec{O},a}(f) = \frac{fF_{\text{target},\vec{O},a}}{fF_{\text{target},\vec{O},a} + M_{\vec{O},a}} (1 - \exp[-fF_{\text{target},\vec{O},a} - M_{\vec{O},a}]), \tag{6}$$

$$\lambda_{\vec{O},a}(f) = \prod_{a'=0}^{a-1} \exp[-fF_{\text{target},\vec{O},a'} - M_{\vec{O},a'}]. \tag{7}$$

 μ and λ means exploitation fraction and cumulative survival, respectively. While μ_a shows domeshaped curve with f, λ_a exponentially decreases, thus it is expected that there exists f such that YPR has maximum.

From Eq. 3, we can obtain $f_{0.1}$ that satisfies

$$\frac{YPR_{\text{target}}(f)}{df}\bigg|_{f=f_{0.1}} = 0.1 \frac{YPR_{\text{target}}(f)}{df}\bigg|_{f=0}.$$
 (8)

 $f_{0.1}$ is the point on the YPR curve where the slope of the curve is 10% of the one at the origin, as illustrated in Fig. 2. Following our notation, $1/f_{0.1}$ (corresponding to $F_{\text{target}}/F_{0.1}$) is RP ratio of $F_{0.1}$.

2.2.2 SPR (Spawning-Per-Recruit) criteria, F_{SSPR}

In contrast to $F_{0.1}$ based on YPR, there are several RPs that consider reproductive potential, such as $F_{\%SPR}$, F_{MSY} and F_{MED} . SPR that is an extension of YPR can incorporate maturity, defined by

$$SPR_{\text{target}}(f) = (1 - r) \sum_{a=0}^{a_{\text{max}}} \left[Q_{Q,a} \times \lambda_{Q,a}(f) \times W_{Q,a} \right]. \tag{9}$$

SPR exponentially decreases as f increases, as shown in Fig. 3. In a sex-specific model, there might be some confusing points. Since SSB does not contain male individuals, SPR is determined only from the female information. By definition, $SSB_{\mathbb{Q}} = SPR_{target}R$ must be satisfied, so the term (1-r), female ratio, is required (recruitment contains both sexes). It should be noted that SPR is a mapping from R to $SSB_{\mathbb{Q}}$ (see also Fig. 4A).

From Eq. 9, we can obtain $f = f_{\alpha\%}$ that satisfies

$$\frac{SPR_{\text{target}}|_{f=f_{\alpha\alpha}}}{SPR_{\text{target}}|_{f=0}} = \frac{\alpha}{100}.$$
 (10)

and RP ratio of $F_{\alpha\%}$ is $1/f_{\alpha\%}$ (corresponding to $F_{\text{target}}/F_{\alpha\%}$). Left-hand side of Eq. 10 is scaled SPR by that with no fishing; when f = 0, the left-hand side equals to one.

 $F_{\%SPR}$ is a reference fishing mortality that results in a *female* SSB (or egg production) per recruitment that is $\alpha\%$ of that with no fishing.

2.2.3 MSY (Maximum-Sustainable-Yield) criteria, F_{MSY}

MSY is a concept that aims to maintain the population size at the point of maximum growth rate and thus maximum surplus yield. MSY is calculated by assuming equilibrium, so it must require a careful interpretation (summarized in Caddy and Mahon 1995)! At equilibrium, female sustainable yield in a sex-specified model, SY_Q , can be defined by.

$$SY_{\mathbb{Q}}(f) = R_{\text{eq}}(f)(1-r) \sum_{a=0}^{a_{\text{max}}} \left[\mu_{\mathbb{Q},a}(f) \times \lambda_{\mathbb{Q},a}(f) \times W_{\mathbb{Q},a} \right]. \tag{11}$$

Subscript $_{eq}$ indicates the state variable at equilibrium. Given f, R_{eq} satisfies

$$R = g(SSB_{\mathcal{Q}})SSB_{\mathcal{Q}}. \tag{12}$$

$$SSB_{Q} = SPR_{\text{target}}R. \tag{13}$$

where the right-hand side of Eq. 12 indicates a relationship between *female* SSB and recruitment. Figure 4A illustrates $(SSB_{Q,eq}, R_{eq})$ for various f under the B-H relationship.

From Eq. 11, we can obtain $f = f_{MSY}$ that maximizes SY_0 (shown in Fig. 4B), and RP ratio of F_{MSY} is $1/f_{MSY}$ (corresponding to F_{target}/F_{MSY}).

Alternatively, sustainable yield of both sexes can be defined by,

$$SY_{OG}(f) = R_{eq}(f)YPR_{target}(f). \tag{14}$$

Despite of this simple expression, the interpretation of SY_{QQ} is a bit tricky: the equilibrium point is independent of males, but YPR of males affects the sustainable yield, possibly leading to different f_{MSY} defined in Eq. 11. This complex definition (Eq. 14) may be confusing, so we adopted the former one in this document.

2.2.4 RPS (Yield-Per-Recruit) criteria, F_{MED}

Calculation of MSY requires the S-R relationship (function g() in Eq. 12), suggesting that uncertainty of the estimation would be crucial. Without applying S-R model, RPS analysis assumes a linear-mapping from SSB_{\odot} to R through the origin. In the case that, based on a S-R data set, the RPS-line has 50% of the recruitment above and 50% below, the slope of the line can be defined as median RPS (denoted by $RPS_{\rm MED}$) in the history, as shown in Fig. 5A. For a population at equilibrium, fishing mortality that can achieve $RPS_{\rm MED}$ would keep the population stable at the median level (Quinn and Deriso 1999).

Following relationships are satisfied at equilibrium,

$$R(f) = RPS_{\text{MED}}SSB_{\mathcal{O}}(f). \tag{15}$$

$$SSB_{\mathbb{Q}}(f) = SPR_{target}(f)R(f).$$
 (16)

By removing $SSB_{\mathcal{Q}}/R$, we obtain

$$SPR_{\text{target}}(f) = \frac{1}{RPS_{\text{MED}}}$$
 (17)

and we can obtain $f = f_{\text{MED}}$ that satisfies Eq. 17. Figure 5B illustrates this relationship. RP ratio of F_{MED} is $1/f_{\text{MED}}$ (corresponding to $F_{\text{target}}/F_{\text{MED}}$).

2.3 Application to North Pacific Albacore

The setting of parameters and assumptions are same as the stock assessment of NPALB in 2014 (ISC 2014). Relevant items of this document are as follows:

- sex ratio is 1:1 (i.e., r = 0.5),
- sex-specific growth model.
- SSB is defined by a female spawning-stock-biomass,
- recruitment is defined by female and male number of fish at age zero.
- spawning season is a second quarter,
- catch is summed from the second quarter to the first quarter in next year.
- 2002-2004 and 2010-2012 are selected as target years for calculating RPs.
- $C_{a,2012} = C_{a,2012,q-2} + C_{a,2012,q-3} + C_{a,2012,q-4}$ because $C_{a,2013,q-1}$ is not available,
- S-R relationship was B-H type with h = 0.9, as

$$R_{year+1} = \frac{4hR_0SSB_{Q,year}}{SSB_{Q,0}(1-h) + SSB_{Q,year}(5h-1)}.$$
 (18)

3 Results and Discussion

3.1 RPs of NPALB

Figure 1 shows $F_{\text{target},\sigma}$ of female and male distinctively. Between 2010-2012, the peak of fishing mortality is shifted toward older fishes, especially in males, than between 2002-2004. Moreover, between 2010-2012, the remarkable difference between sexes was found in older ages.

Table 3 shows RP ratios for various RPs which are noted in this document. The value of RP ratio is an inverse of F-multiplier. f, that satisfies the corresponding property as noted in "Method" section. When the ratio is less than one, the pattern of fishing (i.e., selectivety) in target years can theoretically achieve the corresponding criteria. Due to the realization of the shift towards older fishes. $F_{2010-2012}$ leads to better situations than $F_{2002-2004}$.

3.2 Caveats

Here, we provide several caveats when using the RPs under a sex-specific model. Male individuals are almost ignored in determination of RPs except YPR criteria because SSB is usually defined by females, suggesting that these RPs do not provide the information on fishing of males if both fishing patterns are independently determined. For example, it is not necessarily that $F_{\rm MSY}$ (derived from Eq. 11) leads to MSY that includes both sexes.

3.3 Future works

Followings are needed to be checked before applying proposed definitions of RPs to the next stock assessment:

- · consistency with SS outputs,
- accuracy of approximation we used in practical situations, such as the case of NPALB.

Reference

- Caddy, J. F. and R. Mahon, 1995. Reference points for fisheries management, volume 374. Food and Agriculture Organization of the United Nations Rome.
- ISC, 2014. Stock assessment of albacore tuna in the north pacific ocean in 2014. In Annex 11 of the International Scientific Committee for Tuna and Tuna-like Species in the North Pacific Ocean Plenary Report.
- Methot, R. D. and C. R. Wetzel, 2013. Stock synthesis: a biological and statistical framework for fish stock assessment and fishery management. *Fisheries Research* **142**:86–99.
- NC11, 2015. Proposed framework for management strategy evaluation for north pacific albacore tuna. In 11th Regular Session of the Northern Committee.
- Quinn, T. J. and R. B. Deriso, 1999. Quantitative fish dynamics. Oxford University Press.

ISC/16/ALBWG-02/12

Table 1: List of mathematical symbols

Fishing mortality at age $F_{target,Q,a}$, $F_{target,Q',a}$ $F_{target,Q,a}$, $F_{target,Q',a}$ Weight at age $A_{Q,a}$, $A_{Q',a}$ Weight at age $A_{Q,a}$, $A_{Q',a}$ Cumulative survival Exploitation fraction $A_{Q,a}$, $A_{Q',a}$ Catch at age $A_{Q,a}$, $A_{Q',a}$ Number at age $A_{Q,a}$, $A_{Q',a}$ Maturity at age State variables $A_{Q,a}$ Number of recruitments (including both sexes) $A_{Q,a}$ $A_{Q',a}$ Number of recruitments (including both sexes) $A_{Q',a}$ A_{Q		Table 1: List of mathematical symbols
Fishing mortality at age $F_{target,Q,a}$, $F_{target,Q',a}$ $F_{target,Q,a}$, $F_{target,Q',a}$ Weight at age $A_{Q,a}$, $A_{Q',a}$ Weight at age $A_{Q,a}$, $A_{Q',a}$ Cumulative survival Exploitation fraction $A_{Q,a}$, $A_{Q',a}$ Catch at age $A_{Q,a}$, $A_{Q',a}$ Number at age $A_{Q,a}$, $A_{Q',a}$ Maturity at age State variables $A_{Q,a}$ Number of recruitments (including both sexes) $A_{Q,a}$ $A_{Q',a}$ Number of recruitments (including both sexes) $A_{Q',a}$ A_{Q	Age-structured variable	28
Arithmetic mean of fishing mortality among target years $W_{Q,a}, W_{Q',a}$. Weight at age $X_{Q,a}, X_{Q',a}$. Cumulative survival Exploitation fraction $X_{Q,a}, X_{Q',a}$. Catch at age $X_{Q,a}, X_{Q',a}$. Catch at age $X_{Q,a}, X_{Q',a}$. Number at age $X_{Q,a}, X_{Q',a}$. Number at age $X_{Q,a}, X_{Q',a}$. Number of recruitments (including both sexes) $X_{Q,a}$. Number of recruitments (including both sexes) $X_{Q,a}$. Since $X_{Q,a}$ is	$M_{\mathbb{Q},a}, M_{\tilde{C},a}$	Natural mortality at age
$W_{Q,a}, W_{Q',a}$ Weight at age $\lambda_{Q,a}, \lambda_{Q',a}$ Cumulative survival $\mu_{Q,a}, \mu_{Q',a}$ Exploitation fraction $C_{Q,a}, C_{Q',a}$ Catch at age $N_{Q,a}, N_{Q',a}$ Number at age $N_{Q,a}, N_{Q',a}$ Maturity at age State variables $R(=N_{a=0})$ Number of recruitments (including both sexes) SY_Q female sustainable yield SSB_Q Female spawning-stock-biomass Parameters r sex ratio at birth (i.e., $N_{Q',a=0}: N_{Q,a=0} = r: (1-r)$) f F-multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	$F_{Q,a,vear}, F_{\mathcal{J},a}$	Fishing mortality at age
Cumulative survival $\mu_{Q,a}, \mu_{Q',a}$ $\mu_{Q,a}, \mu_{Q',a}$ Exploitation fraction $C_{Q,a}, C_{Q',a}$ Catch at age $N_{Q,a}, N_{Q',a}$ Number at age $Q_{Q,a}$ Maturity at age State variables $R(=N_{a=0})$ Number of recruitments (including both sexes) SY_Q $female$ sustainable yield SSB_Q $Female$ spawning-stock-biomass $Parameters$ r r sex ratio at birth (i.e., $N_{Q',a=0}: N_{Q,a=0} = r: (1-r)$) f F -multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	$F_{\text{target},Q,a}, F_{\text{target},O^*,a}$	Arithmetic mean of fishing mortality among target years
Exploitation fraction $C_{\mathbb{Q},a}, \mathcal{L}_{\mathcal{O},a}$ Catch at age $N_{\mathbb{Q},a}, N_{\mathcal{O},a}$ Number at age $Q_{\mathbb{Q},a}$ Maturity at age State variables $R(=N_{a-0})$ Number of recruitments (including both sexes) $SY_{\mathbb{Q}}$ female sustainable yield $SSB_{\mathbb{Q}}$ Female spawning-stock-biomass Parameters $R(=N_{a-0})$ sex ratio at birth (i.e., $N_{\mathcal{O},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) $R(=N_{a-1})$ for $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$ $N_{\mathbb{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$	$W_{\mathcal{Q},a},W_{\mathcal{O},a}$	Weight at age
Catch at age $N_{Q,a}$. $N_{\mathcal{O},a}$ Number at age $N_{Q,a}$. $N_{\mathcal{O},a}$ Maturity at age State variables $R(=N_{a-0})$ Number of recruitments (including both sexes) SY_Q female sustainable yield SSB_Q Female spawning-stock-biomass Parameters r sex ratio at birth (i.e., $N_{\mathcal{O},a=0}$: $N_{Q,a=0} = r$: $(1-r)$) f F-multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	$\lambda_{Q,a}, \lambda_{Q^{*},a}$	Cumulative survival
Number at age $Q_{\mathbb{Q},a}$ Number at age $State \ variables$ $R(=N_{a=0})$ Number of recruitments (including both sexes) $SY_{\mathbb{Q}}$ female sustainable yield $SSB_{\mathbb{Q}}$ Female spawning-stock-biomass Parameters r sex ratio at birth (i.e., $N_{\mathcal{Q},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) f F-multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	$\mu_{\mathbb{Q},a},\mu_{\mathcal{O},a}$	Exploitation fraction
Maturity at age State variables $R(=N_{a=0})$ Number of recruitments (including both sexes) $SY_{\mathbb{Q}}$ female sustainable yield $SSB_{\mathbb{Q}}$ Female spawning-stock-biomass Parameters $SY_{\mathbb{Q}}$ sex ratio at birth (i.e., $N_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) $SY_{\mathbb{Q}}$ for $Y_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$ $SY_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$ $SY_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$ $SY_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$ $SY_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$ $SY_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$ $SY_{\mathbb{Q}^{n},a=0}:N_{\mathbb{Q}^{n},a=0}=r:(1-r)$	$C_{\mathbb{Q},a}.C_{\mathcal{O},a}$	Catch at age
State variables $R(=N_{a=0})$ Number of recruitments (including both sexes) $SY_{\mathbb{Q}}$ female sustainable yield $SSB_{\mathbb{Q}}$ Female spawning-stock-biomass $Parameters$ r sex ratio at birth (i.e., $N_{\mathcal{Q}^{*},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) f F-multiplier scarching for RPs R_{0} Unfished equilibrium of recruitment (including both sexes)	$N_{\mathcal{Q},a}.N_{\mathcal{O}^{\bullet},a}$	Number at age
$R(=N_{a=0})$ Number of recruitments (including both sexes) $SY_{\mathbb{Q}}$ female sustainable yield $SSB_{\mathbb{Q}}$ Female spawning-stock-biomassParameterssex ratio at birth (i.e., $N_{\mathcal{O},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) f F-multiplier searching for RPs R_0 Unfished equilibrium of recruitment (including both sexes)	$Q_{\mathcal{Q},a}$	Maturity at age
$SSP_{\mathbb{Q}}$ female sustainable yield $SSB_{\mathbb{Q}}$ Female spawning-stock-biomass Parameters $SSR_{\mathbb{Q}}$ sex ratio at birth (i.e., $N_{\mathcal{O},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) $SSR_{\mathbb{Q}}$ Female spawning-stock-biomass $SSR_{\mathbb{Q}}$ sex ratio at birth (i.e., $N_{\mathcal{O},a=0}:N_{\mathbb{Q},a=0}=r:(1-r)$) $SSR_{\mathbb{Q}}$ F-multiplier scarching for RPs $SSR_{\mathbb{Q}}$ Unfished equilibrium of recruitment (including both sexes)	State variables	
Female spawning-stock-biomass Parameters r sex ratio at birth (i.e., $N_{\mathcal{O}^*, a=0}: N_{\mathcal{Q}, a=0} = r: (1-r)$) f F-multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	$R(=N_{a=0})$	Number of recruitments (including both sexes)
Parameters sex ratio at birth (i.e., $N_{\mathcal{O}, a=0}: N_{\mathcal{Q}, a=0} = r: (1-r)$) F -multiplier scarching for RPs Unfished equilibrium of recruitment (including both sexes)	$SY_{\mathbb{Q}}$	female sustainable yield
sex ratio at birth (i.e., $N_{\mathcal{O}, a=0}: N_{\mathcal{Q}, a=0} = r: (1-r)$) F-multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	$SSB_{\mathbb{Q}}$	Female spawning-stock-biomass
F-multiplier searching for RPs Unfished equilibrium of recruitment (including both sexes)	Parameters	
Unfished equilibrium of recruitment (including both sexes)	r_{∞}	sex ratio at birth (i.e., $N_{\mathfrak{S}^{n},a=0}: N_{\mathfrak{Q},a=0}=r:(1-r)$)
	f	F-multiplier searching for RPs
Unfished equilibrium of $female$ spawning-stock-biomass	R_0	Unfished equilibrium of recruitment (including both sexes)
	$SSB_{Q,0}$	Unfished equilibrium of female spawning-stock-biomass
h Steepness	h	Steepness

Table 2: Summary of reference points

	$F_{0.1}$	F_{GSPR}	F _{MSY} (Eq. 11)	$F_{ m MED}$
Involved sex of adults for derivation	♀♂	₽	φ	P
Assuming equilibrium	No	No	Yes	Yes
Required information	M_a, W_a	M_a, W_a, Q_a	$M_a, W_a, Q_a,$ S-R relationship	M_a, W_a, Q_a . S-R data

Table 3: Reference point ratio

	$F_{2010-2012}$	$F_{2002-2004}$
$F_{0,1}$	0.53	0.63
$F_{10\%}$	0.32	0.45
$F_{20\%}$	0.48	0.66
$F_{30\%}$	0.66	0.90
$F_{40\%}$	0.89	1.20
$F_{50\%}$	1.21	1.60
$F_{ m MSY}$	0.46	0.63
$F_{ m MED}$	1.06	1.41

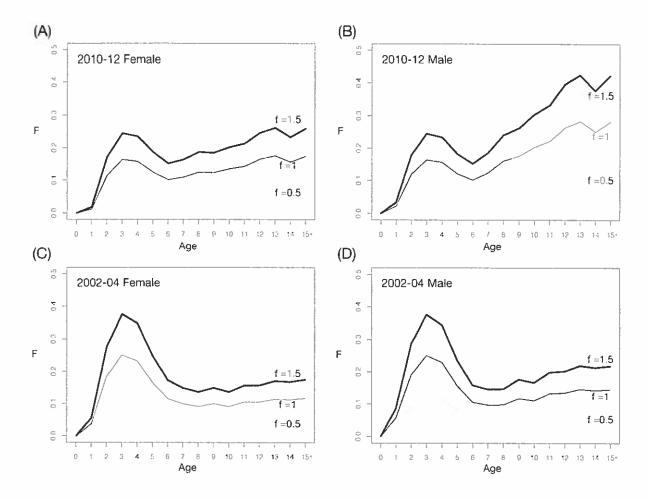


Figure 1: Female and male fishing mortality of NPALB between 2010 and 2012 (A-B), between 2002 and 2004 (C-D). RPs are obtained by changing F-multiplier (f), suggesting the selectivity is fixed in the target years. f = 1.5 and f = 0.5 are shown for the illustrative purpose.

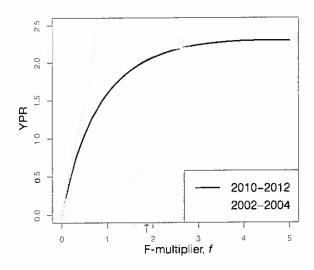


Figure 2: YPR curves of NPALB. Arrows indicate $f_{0.1}$. Auxiliary dotted lines for getting $f_{0.1}$ are corresponding to the target years 2010-2012. Gray curve is plotted in the range of (0,3.6) because a larger value of f leads to an extinction of SSB_Q at equilibrium.

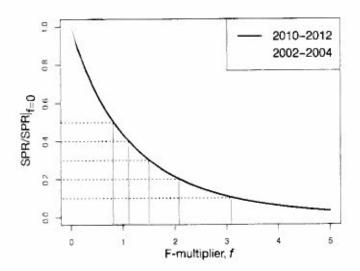


Figure 3: SPR curves of NPALB (scaled by $SPR|_{f=0}$). The position of the intersection between horizontal axis and lines corresponds to $f_{\%SPR}$ ($f_{50\%}$, $f_{40\%}$, $f_{30\%}$, $f_{20\%}$ and $f_{10\%}$).

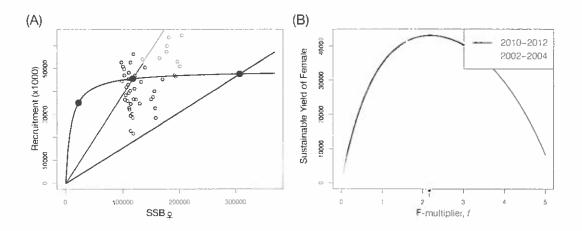


Figure 4: (A) S-R relationship and SRP lines (2010-2012) of NPALB. Filled circles indicate equilibrium points ($SSB_{Q,eq}.R_{eq}$). Right equilibrium point that is an intersection to $SPR|_{f=0}$ line shows ($SSB_{Q,0}.R_0$). Middle and left point is corresponding to $f_{40\%}$ and $f_{10\%}$, respectively. (B) Female sustainable yield defined at equilibrium. Arrows indicate f_{MSY} .

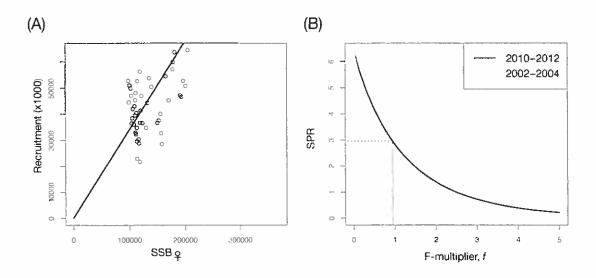


Figure 5: (A) RPS_{MED} line of NPALB. The slope (corresponding to $RPS_{\rm MED}$) is 0.34 and the inverse is 2.94. (B) SPR curve of NPALB. The position of the intersection between horizontal axis and lines corresponds to $f_{\rm MED}$

R-code 1: Personally used source code in this document

```
# Get Reference Points, developed by Tetsuya Akita
2
   # INPUT PARAMETERS
3
4 mydir <- "/Seek/Simplicity/But/Distrust/It" # include related files in this folder</p>
5 tgtvr_start <- 2010 # target year for refferenced F. (e.g., F0204)
   tgtyr_end < - 2012
   nbin_F <- 10000
   MaxFmult <- 5
D
10 # Read SS outputs
   setwd(mydir)
11
12 library(r4ss)
13 res <- SS_output(dir = mydir. model = "ss3". repfile = "Report.sso".compfile = "CompReport.sso".
        covarfile = "covar.sso", ncols = 330, forecast = TRUE, warn = TRUE, covar = F, checkcor = TRUE.
        cormax = 0.95.cormin = 0.01.printhighcor = 10.printlowcor = 10. verbose = TRUE. printstats = TRUE
        . hidewarn = FALSE, NoCompOK = FALSE, aalmaxbinrange = 4)
14
   MaxAge <- res$accuage
15
   Start Year <- ressstartyr
   EndYear <- res$endyr
17
18
   # Check the number of gender
19
   ngender <- max(res$morph_indexing$Gender)
20
   cat("ngender-=".ngender." "n")
22
23 # Get biological parameters, M@A, W@A, Q@A
24 bio <- {}
25 tmp = ressendgrowth #Wt_Beg=waa in season 2
bio[[1]] = tmp[\mathbf{which}((tmp$Seas==2)&(tmp$Gender==1)),\mathbf{names}(tmp)\%in\%c("M"."Wt\_Beg"."Age_
        Mat")]
   bio[[2]] = tmp\{\mathbf{which}((tmp\$Seas==2)\&(tmp\$Gender==2)), \mathbf{names}(tmp)\%in\%\mathbf{c}("M"."Wt\_Beg")\}
    rownames(bio[[1]]) <- rownames(bio[[2]]) <- tmp$Age_Beg[which((tmp$Seas==2)&(tmp$Gender
        ==1))]
29
   M1 < -bio[[1]]$M
M2 \leftarrow bio[[2]]M
  W1 <- bio[[1]]$Wt_Beg
33 W2 <- bio[[2]]$Wt_Beg</p>
   Q <- bio[[1]]$Agc_Mat
34
35
    rm(tmp)
36
37
38 # Get S-R relationship
39 SSB <- res$recruit$spawn_bio[res$recruit$year>=StartYear]
40 REC <- res$recruit$pred_recr[res$recruit$year>=StartYear]
41 R0 <- sum(res$timeseries$Recruit_0[res$timeseries$Era == "VIRG"], na.rm = TRUE)
```

```
B0 <- sum(res$timeseries$SpawnBio|res$timeseries$Era == "VIRG" |. na.rm = TRUE)
43 h <- res$parameters$Value|res$parameters$Label%in%c("SR_BH_steep"."SR_BH_flat_steep")]
   cat("R0 = ".R0.", B0 female = ".B0.", h = ".h," "n")
45
# # Get C@A
47 tmp <- res$catage</p>
48 cat("Number of fleet = ", max(tmp$Fleet)," "n" )
49 \text{ qY} < -(\text{max}(\text{tmp}\$\text{Yr}) - \text{min}(\text{tmp}\$\text{Yr}) + 1) * 4 # = (2012 - 1965 + 1) * 4 = 48*4
50 nyear \langle -qY/4 \# = 48, 1965 to 2012, first qy and last three gys are removed
51 cat("Start-term-of-CAA-is-year:".min(tmp$Yr)+1.", season:", 2." "n")
52 cat("End-term-of-CAA-is-year:".max(tmp$Yr).", season:". I." "n")
    cat("catch-in".max(tmp$Yr)."is-included-into-year".max(tmp$Yr) 1," "n")
54 cat("CAA-has-nrow=".nyear." "n")
55
   CAA1 <- CAA2 <- matrix(0, nyear, MaxAge+1)
57
   #NOTE: last year (2012) is sumed only by three seasons
   for (i in Envear)
59
      # CAA female
      CAAI[i] \le -apply(tmp](tmp$Yr==(min(tmp$Yr)+i-1)) & (tmp$Seas%in%c(2.3.4)) & (tmp$Gender)
          ==1). ||names(tmp)% in% seq(0,MaxAge)|, 2, sum)
      if(i!=nyear) CAA1[i,] < - CAA1[i,] + apply(tmp[(tmp$Yr==(min(tmp$Yr)+i)) & (tmp$Seas==1) &(
60
          tmp$Gender==1). [[names(tmp)%in%seq(0.MaxAge)], 2, sum)
      # CAA male
h3
      CAA2[i,] \leftarrow apply(tnip|(tnip|SYr)==(min(tnip|SYr)+i-1)) & (tnip|Seas%in%c(2.3.4)) & (tnip|Seader)
64
          ==2), ||names(trup)% in% seq(0,MaxAge)|, 2, sum)
      if(i!=nyear) CAA2[i,] <- CAA2[i,] + apply(tmp[(tmp$Yr==(min(tmp$Yr)+i)) & (tmp$Seas==1) &(
65
          tmp$Gender==2), [[names(tmp)%in%seq(0,MaxAge)], 2, sum)
tito
   rownames(CAA1) < -rownames(CAA2) < -seq(min(tmp$Yr)).max(tmp$Yr))
   colnames(CAA1) < - colnames(CAA2) < - seq(0,MaxAge)
   rm(tnip)
64
70
71 # GeiN@A
72 tmp <- res$natage
73 tmp1 \leftarrow tmp|which((tmp$Seas==2) & (tmp$Beg/Mid'=="B") & (tmp$Yr >= (StartYear -1)) & (tmp$
        Yr \le EndYear) & (tn:p$Gender==1) ) .1
  tmp2 < -tmp[\mathbf{which}(tmp$Seas==2) & (tmp$'Beg/Mid'=="B") & (tmp$Yr >= (StartYear-1)) & (tmp$
        Yr \le EndYear) & (tmp\$Gender==2)).
   NAA1 \leftarrow as.matrix(tmp1[names(tmp1)\%in\%seq(0,MaxAge)])
76 NAA2 \leftarrow as matrix(tmp2|names(tmp2)%in% seq(0,MaxAge)|)
   rownames(NAA1) <- rownames(NAA2) <- dimnames(CAA1)[[1]]
  rm(triip)
78
79
80 # GetF@A
st nage <- MaxAge+1
s2 FAA1 <- FAA2 <- matrix(0, nyear, nage)
83
```

```
for (i in 1:nyear)
84
85
      for (j in 1:nage)
         if (NAA1[i,j]>0.0000001) {
86
           F0 \leftarrow CAA1[i,j]/NAA1[i,j]
87
           F1 \leftarrow CAA1[i,j] * (F0 + M1[j]) / NAA1[i,j] / (1 - exp(-F0-M1[j]))
88
           while(abs(F0-F1)>0.0001){
89
             F0 <- F1
90
             F1 \leftarrow CAAl[i,j] * (F0 + Ml[j]) / NAAl[i,j] / (1-exp(-F0-Ml[j]))
91
92
           FAAl[i,j] < -Fl
93
94
95
96
    for (i in 1:nyear){
97
      for (j in 1:nage){
98
         if (NAA2[i,j]>0.0000001) {
99
           F0 \leftarrow CAA2[i,j]/NAA2[i,j]
100
           F1 \leftarrow CAA2[i,j] * (F0 + M2[j]) / NAA2[i,j] / (1 - exp(-F0 - M2[j]))
101
           while(abs(F0-F1)>0.0001){
102
             F0 \leftarrow F1
103
             F1 \leftarrow CAA2[i,j] * (F0 + M2[j]) / NAA2[i,j] / (1 - exp(-F0 - M2[j]))
104
105
           FAA2[i.j] <- F1
106
107
108
109
110
    rownames(FAA1) <- rownames(FAA2) <- dimnames(CAA1)[[1]]
111
    colnames(FAA1) <- colnames(FAA2) <- dimnames(CAA1)[[2]]
112
113
    # Get target F@A as a arithmetical mean vector
114
    tmp <- FAA1[rownames(FAA1)%in%seq(tgtyr_start,tgtyr_end),]
    TargetFAA1 \leftarrow apply(tmp. 2, function(x) {
116
117
       GeoMean \leftarrow mean(x)#exp(mean(log(x)))
     })
118
    tmp <- FAA2[rownames(FAA2)%in%seq(tgtyr_start.tgtyr_end).]
    TargetFAA2 \leftarrow apply(tmp. 2. function(x) {
120
       GeoMean <- mean(x)#exp(mean(log(x)))
121
122
     plot(NULL,pch=NA,xlim=c(0,MaxAge),ylim=c(0,0.3),xlab="",ylab="",cex.axis=1,2.cex,main=1.0,
123
          main = "F1012, N:Q2, -Catch:Q2-3-4-1".xaxp=c(0, 15, 15))
124
     grid(NULL)
125
     points(seq(0.MaxAge), TargetFAA1.type = "b",col="red")
     points(seq(0.MaxAge),TargetFAA2.type = "b",col="blue")
128
     # Get RPs
129
130
    #NOTE: if SSB goes to zero, calculation of RPs is stopped!
```

```
132
     N1 \leftarrow N2 \leftarrow rep(1,MaxAge+1)
133
     Fmult <- seq(0.MaxFmult,length=nbin_F)
     SPR <- YPR <- SSBmsy <- Rmsy <- MSY <- rep(0,length=nbin_F)
135
136
     for(i in 1:length(Fmult)) {
137
1.58
       FAAmultil <- TargetFAA1*Fmult[i]
       I-AAmulti2 <- TargetFAA2*Fmult[i]
120
       Z1 <- FAAmulti1 + M1
140
       Z2 <- TAAmulti2 + M2
141
       for(j in 1:MaxAge){
142
         N1[j+1] \leftarrow N1[j]*exp(-Z1[j])
143
         N2[j+1] \leftarrow N2[j]*exp(-Z2[j])
144
145
       N1[MaxAge+1] \leftarrow N1[MaxAge]*exp(-Z1[MaxAge])/(1-exp(-Z1[MaxAge+1]))
146
       N2[MaxAge+1] < -N2[MaxAge] * exp(-Z2[MaxAge]) / (1-exp(-Z2[MaxAge+1]))
147
148
       Catch_ratio1 \leftarrow FAAnulti1/Z1*(1 exp( \simZ1))
149
       Catch_ratio2 \leftarrow FAAmulti2/7.2*(1-exp(-72))
150
151
       YPR[i] \leftarrow 0.5*sum(N1*W1*Catch_ratio1) + 0.5*sum(N2*W2*Catch_ratio2)
       SPR[i] \leftarrow 0.5*sum(NI*WI*Q)
152
153
       if(i==1)
154
         spr0 < - SPR[i]
155
       else | # NOTE: SPR under F=0 is ignored when getting equiliblium_S&R
156
         spr <- SPR[i]
157
         f < -function(x) 4*h*R0*x/(spr0*R0*(1-h) + x*(5*h-1)) x/spr
158
         # obtein intersection between SR-curve & RPS-line
50
         sol <- uniroot(f, c(0.1, 100*B0))
160
         SSBmsv[i] <- sol$root
161
         Rnisy[i] <-SSBnisy[i]/spr
162
163
       MSY[i] <- 0.5*sum(N1*W1*Catch_ratio1)*Rmsy[i] # Equation in 11
164
165
       # MSY[i] <- YPR[i]*Rmsy[i] # Equation in 14
166
167
    # YPR series
168
    plot(Fmult.YPR.type = "1")
    grad\_YPR <- (YPR[2:nbin\_F] - YPR[1:(nbin\_F - 1)])/(MaxFmult/nbin\_F)
170
    Fmax \leftarrow I/(Fmult[grad_YPR < 0])[1]
171
172
    grad_YPR0 <= 0.1*grad_YPR[1]
    F0.1 <- 1/(Fmult[grad_YPR<grad_YPR0])[1]
    cat("Fmax=".Fmax,", F0.1=".F0.1," "n")
174
175
176 # SPR series
177 SPR0 <- SPR/SPR[1] # scaling so that SPR with F=0 equals one
178 plot(Fmult,SPR0,type = "1")
179 F50 <- I/(Fmult[SPR0<0.5])[1]</p>
```

```
180 F40 <- 1/(Fmult[SPR0<0.4])[1]
181 F30 <- 1/(Fmult(SPR0<0.3))[1]
182 F20 <- I/(Fmult[SPR0<0.2])[1]
183 F10 <- 1/(Fmult[SPR0<0.1])[1]
   cat("F50%"=",F50."F40%"=",F40.",F30%"=",F30.",F20%"=",F20.",F10%"=",F10.""n")
185
186
187 #SPR and S-R plot
188 options(scipen=1)
189 plot(NULL, x = c(0.1.2*B0).y = c(0.max(REC)), x = n, y = n, y = n, cex.axis = 0.7)
    points(SSB,REC)
191 curve(1/SPR[1]*x,add = T.lwd=2)
192 curve(1/SPR[SPR0<0.4][1]*x .add = T)
    curve(1/SPR[SPR0<0.1][1]*_{\lambda}.add = T.col="grey")
193
    curve(4*h*R0*x/(spr0*R0*(1-h) + x*(5*h-1)),add = T.lwd=2)
194
195
196 # MSY
    plot(Fmult.MSY.type = "1".ylab = "Yield")
197
    grad\_MSY <- (MSY[2:nbin\_F] - MSY[1:(nbin\_F-1)])/(MaxFmult/nbin\_F)
199 Fmsy <- 1/(Fmult[grad_MSY<0])[1]
200 Bmsy <- (SSBmsy[grad_MSY<0])[1]
    msy \leftarrow (MSY[grad_MSY<0])[1]
201
    cat("Fmsy=".Fmsy,", SSBmsy=",floor(Bmsy).", MSY=",floor(msy)," "n")
203
204 # RPS series
     plot(NULL, xlim = c(0.B0).ylim = c(0,max(REC)), xlab = "SSB", ylab = "REC")
205
     points(SSB.REC)
     curve(4*h*R0*x / (spr0*R0*(1-h) + x*(5*h-1)),add = T,col="red")
208
    num <- EndYear-StartYear+1
209
210 \quad \text{num} 10 < -\text{floor}(0.1*\text{num})
    num50 <- floor(0.5*num)
212 num90 <- floor(0.9*num)
    g < - function(x) {
213
       RPSS <- SSB*x
       sum((REC-RPSS)<0)
215
216
     RPS < - seq(from=0.1, to = 1, by = 0.01)
217
     tmp <- sapply(RPS.g)
219
    RPSJ \leftarrow (RPS[tmp>num10])[1]
220
     RPS_m \leftarrow (RPS[tmp>num50])[1]
221
     RPS_h \leftarrow (RPS[tmp>num90])[1]
222
 223
 224 curve(RPS_l*x,add = T,lty=2)
 225 curve(RPS_m*x,add = T,lty=2)
 226 curve(RPS_h*x.add = T.lty=2)
 227
```

ISC/16/ALBWG-02/12

```
piot(Fmult,SPR.type = "1")

F_J <- 1/(Fmult[SPR = 1/RPS_1])[1]

F_m <- 1/(Fmult[SPR = 1/RPS_m])[1]

F_h <- 1/(Fmult[SPR = 1/RPS_h])[1]

cat("F_Jow = ".F_J.", F_mid = ".F_m.", F_high = ".F_h." "n")
```

		•